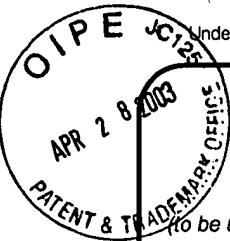


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Application Number	10/083,674
Filing Date	October 19, 2001
First Named Inventor	Zhou, Yan
Art Unit	2874
Examiner Name	to be assigned
Total Number of Pages in This Submission	Attorney Docket Number
	021040-000300US

ENCLOSURES (Check all that apply)

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Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP Gerald T. Gray		Reg. No. 41,797
Signature			
Date	April 21, 2003		

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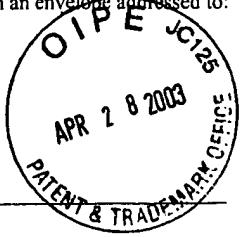
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On April 21, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: Aaron Hokamura



PATENT
Attorney Docket No.: 021040-000300US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yan Zhou, et al.

Application No.: 10/083,674

Filed: October 19, 2001

For: INTEGRATED PLANAR
COMPOSITE COUPLING
STRUCTURES FOR BI-DIRECTIONAL
LIGHT BEAM TRANSFORMATION
BETWEEN A SMALL MODE SIZE
WAVEGUIDE AND A LARGE MODE
SIZE WAVEGUIDE

Examiner:

Art Unit: 2874

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Gerald T. Gray
Reg. No. 41,797

TOWNSEND and TOWNSEND and CREW LLP
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GTG:ath

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Page

1

of

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Complete if Known

Application Number	10/083,674
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Art Unit	2874
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Attorney Docket Number

021040-000300US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AK	US-4,639,074		01/27/1987	Murphy	
AL	US-4,930,854		06/05/1990	Albares et al.	
AM	US-5,337,398		08/09/1994	Benzoni et al.	
AN	US-5,552,092		09/03/1996	Francis et al.	
AO	US-5,703,895		12/30/1997	Ghirardi et al.	
AP	US-5,792,674		08/11/1998	Kitamura	
AQ	US-5,881,190		03/09/1999	Harpin et al.	
AR	US-5,937,124		08/10/1999	Roff	
AS	US-6,118,915		09/12/2000	Sato	
AT	US-6,240,233		05/29/2001	Weinert et al.	
AU	US-6,310,995		10/30/2001	Saini et al.	
AV	US-6,324,326		11/27/2001	Dejneka et al.	
AW	US-6,330,378		12/11/2001	Forrest et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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